



**Shri Vaishnav Vidyapeeth Vishwavidyalaya, Indore**  
**Shri Vaishnav School of Management**  
**Choice Based Credit System (CBCS) in Light of NEP-2020**

**GENERIC ELECTIVE (EVEN SEMESTER)**

**GUMGT204 FINANCE FOR NON-FINANCE PROFESSIONALS**

| COURSE CODE | CATEGORY | COURSE NAME                           | TEACHING & EVALUATION SCHEME |               |                      |                         |                      |   |   |   |         |
|-------------|----------|---------------------------------------|------------------------------|---------------|----------------------|-------------------------|----------------------|---|---|---|---------|
|             |          |                                       | THEORY                       |               |                      | PRACTICAL               |                      | L | T | P | CREDITS |
|             |          |                                       | END SEM University Exam      | Two Term Exam | Teachers Assessment* | END SEM University Exam | Teachers Assessment* |   |   |   |         |
| GUMGT204    | GE       | Finance for Non-finance Professionals | 60                           | 20            | 20                   | -                       | -                    | 3 | - | - | 3       |

**Legends:** L - Lecture; T - Tutorial/Teacher Guided Student Activity; P – Practical; C - Credit; GE – Generic Elective

\***Teacher Assessment** shall be based on following components: Quiz/Assignment/ Project/Participation in Class, given that no component shall exceed more than 10 marks.

**Course Objectives**

To help students awareness and understanding of the way financial markets function in terms of the different categories of participants. Financial literacy will help students for investing in financial instruments.

**Examination Scheme**

The internal assessment of the students' performance will be done out of 40 Marks. The semester Examination will be worth 60 Marks. The question paper and semester exam will consist of two sections A and B. Section A will carry 36 Marks and consist of 5 questions, out of which student will be required to attempt any three questions. Section B will comprise of one or more cases / problems worth 24 marks.

**Course Outcomes**

1. To help students develop a thorough understanding of financial statements and its key elements.
2. To help student's awareness and understanding of the ways finance helps create wealth.

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**Controller of Examination**  
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**Joint Registrar**  
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### COURSE CONTENT

#### UNIT I: Means of financing:

Share Capital, Term Loans, Debentures, Leasing, and Other forms of Funding.

#### UNIT II: Financial Markets and Instruments

Types of Financial Markets: Primary and Secondary, Indices - NIFTY & SENSEX (meaning & composition)

Financial Instruments: Shares, Debt Instruments, Money Market Instruments, Long Term Financial Instruments, Derivatives (Future, Forward, option, swaps), Fundamental and Technical Analysis, SEBI

#### UNIT III: Banking & Financial Institutions

Types of Banks, Types of A/C in Banks, Banks various Rates, Digital Banking, Functions of Central Bank (RBI).NBFCs.

#### UNIT IV: Insurance

Basic characteristics of insurance, Types of Insurance& its features, Indemnity, Insurable Interest, Subrogation, Utmost good Faith, IRDA.

#### UNIT V: Mutual Funds

Introduction to mutual funds, Benefits of mutual funds, Types of mutual fund-open ended close-ended. Risk in mutual funds, Alternative investment market, hedge funds and Pension funds.

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**Suggested Readings**

1. HR Machiraju, Indian Financial System 2nd edition, Vikas Publication.
2. Bharti V Pathak, The Financial System: Markets, Institutions and Services 3rd Edition, Pearson Education India Ltd. 7.
3. Frederic S. Mishkin, Financial Markets and Institutions 7th Edition, The Prentice Hall Series in Finance.
4. Jeff Madura, Financial Markets and Institutions 7th edition, Cengage Learning.

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